



# Spectral Flux Calculation with FORUM-like Measurements Acquired from a Stratospheric Balloon

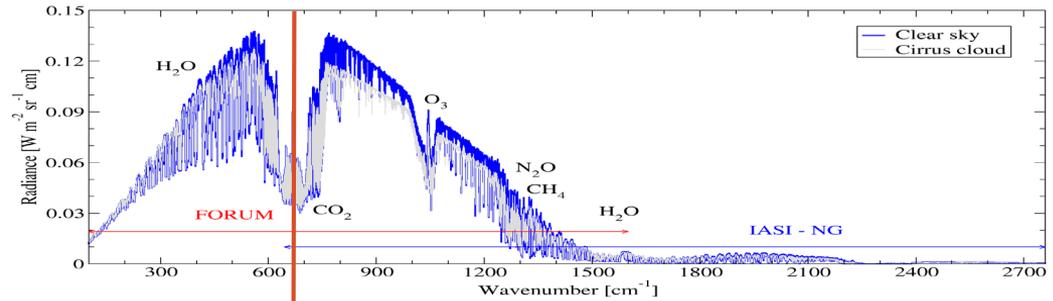
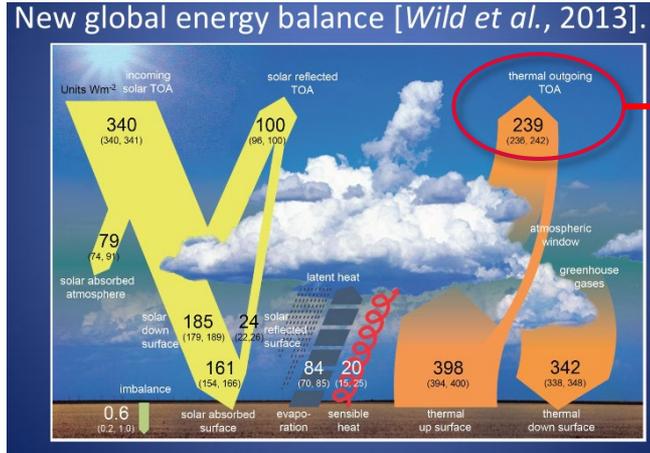
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ATMOS 2021 Virtual Event

22 – 26 November 2021



## Far-infrared-Outgoing-Radiation Understanding and Monitoring ESA EE-9 Mission



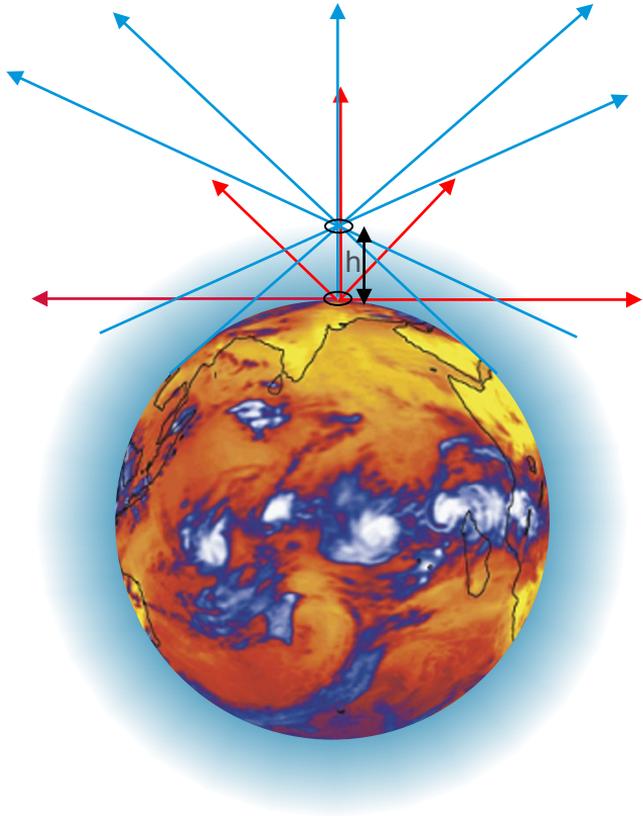
FIR ← →

Range = 100-1600 cm<sup>-1</sup>  
Resolution = 0.5 cm<sup>-1</sup>  
Accuracy = 0.1 K (3σ confidence)

### Objectives

- Reducing FIR uncertainty in models
- Identifying climate signatures in the spectrum to better link observed variations to key underlying physical processes driving climate change

# Flux calculation – spherical geometry



Spectral flux

$$F_\nu = \int_{\phi=0}^{2\pi} \int_{\theta=0}^{\frac{\pi}{2}} I_\nu(\phi, \theta) \cos(\theta) \sin(\theta) d\theta d\phi$$

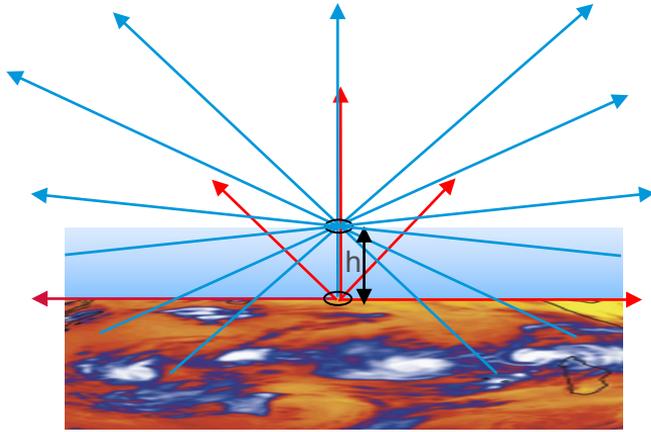
Total flux

$$F = \int_0^\infty F_\nu d\nu$$

Reference level dependence

$$\frac{F_{h1}}{F_{h2}} = \left( \frac{r_e + h_1}{r_e + h_2} \right)^2$$

# Flux calculation – plane-parallel geometry



Spectral flux

$$F_{\nu} = \int_{\phi=0}^{2\pi} \int_{\theta=0}^{\frac{\pi}{2}} R_{\nu}(\phi, \theta) \cos(\theta) \sin(\theta) d\theta d\phi$$

Total flux

$$F = \int_0^{\infty} F_{\nu} d\nu$$

Reference level dependence

~~$$\frac{F_{h1}}{F_{h2}} = \left( \frac{r_e + h_1}{r_e + h_2} \right)^2$$~~ 
$$F_{h1} = F_{h2}$$



1. Radiance measurements are band-limited and typically not spectrally-resolved so ADM are required to obtain the total flux through the anisotropy factor  $R(\theta)$ .

$$F = \frac{\pi I(\theta)}{R(\theta)}$$

2. Radiance spectral measurements allows to calculate also the spectral flux or using spectral ADM or through a retrieval approach

$$F_\nu = \frac{\pi I_\nu(\theta)}{R_\nu(\theta)}$$

# Retrieval approach to calculate spectral flux



Spectral measurement  
at 1 zenith angle  $\theta$   $\implies$  Retrieval  $\implies$  Atmospheric state

Atmospheric state  $\implies$  Radiative transfer  $\implies I_\nu(\theta)$

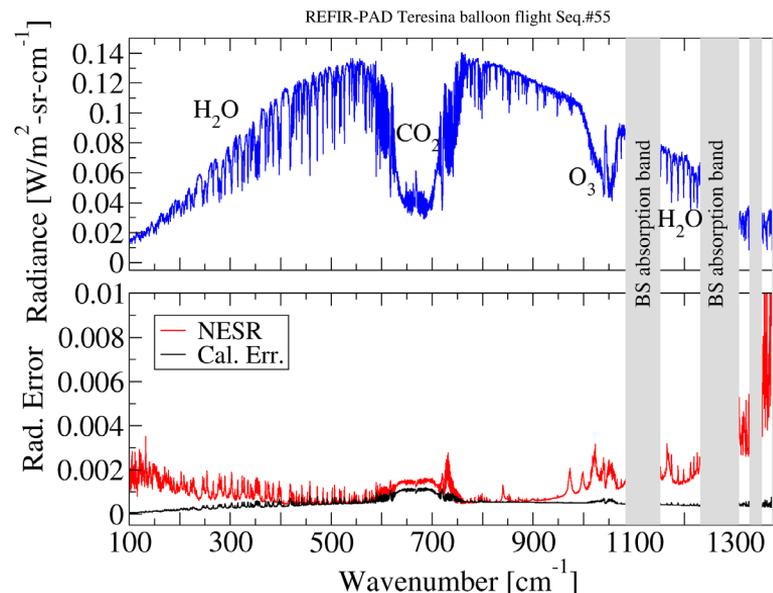
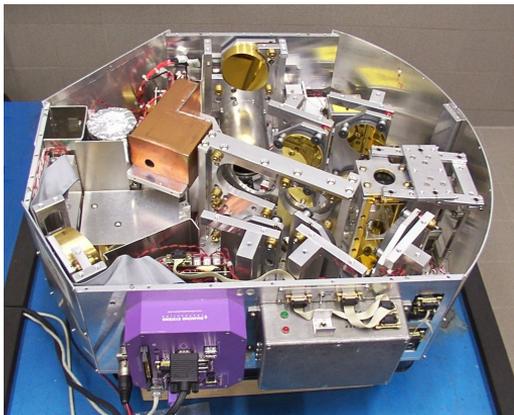
Horizontal and azimuth homogenous approximation

$$F_\nu = 2\pi \int_{\theta=0}^{\frac{\pi}{2}} I_\nu(\theta) \cos(\theta) \sin(\theta) d\theta \qquad F = \int_0^\infty F_\nu d\nu$$

# Application to stratospheric balloon-borne FIR FTS

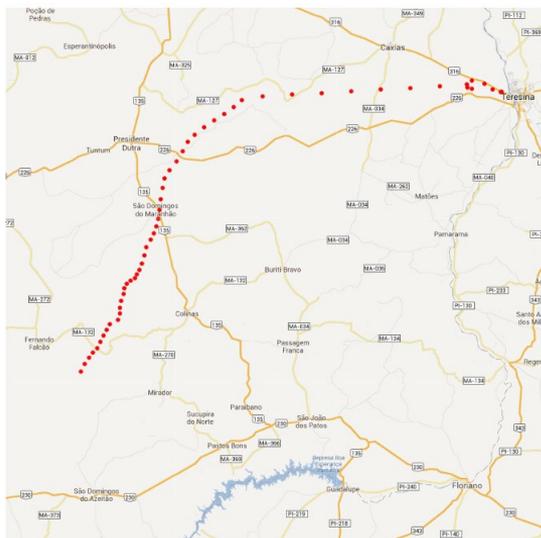


## REFIR-PAD (Radiation Explorer in the Far-InfraRed – Prototype for Applications and Development) 2003-04

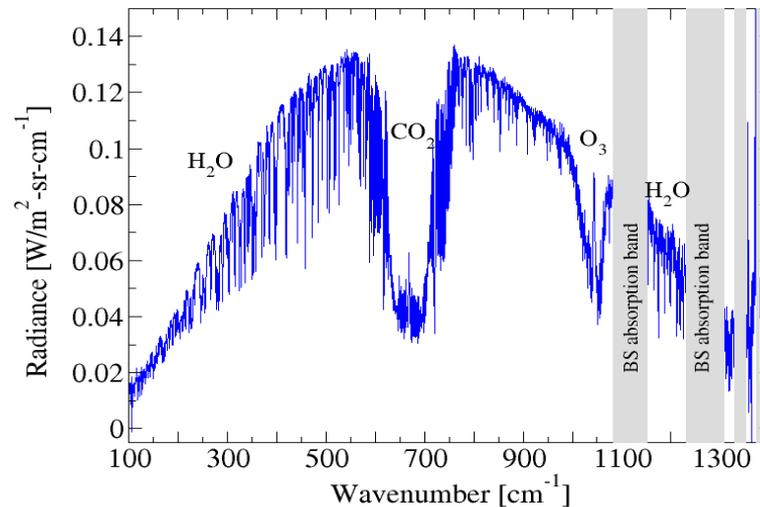


FIR – Fourier Transform Spectrometer  
Spectral coverage = 100-1400  $\text{cm}^{-1}$ ,  
Resolution 0.475  $\text{cm}^{-1}$  max. double-sided  
NESR in the range 0.8-2.5  $\text{mW}/(\text{m}^2\text{ sr cm}^{-1})$   
Absolute calibration error < 0.5 K  
Small Payload: 62 cm dia., 55 kg weight, 50 W avg power

# Teresina campaign – 30 June 2005



REFIR-PAD Teresina balloon flight - 3 June 2005



North-East of Brazil ( $5^{\circ} 5' S$ ,  $42^{\circ} 52' W$ )  
Launch at night at 03:36 local time  
Floating altitude at 34 km for about 8 h  
Landing 10 h later, 270 km south-west

54 nadir measurements (10 during the ascending leg and 44 at floating altitude) + calibrations and deep space views  
1 meas. = average of 10 nadir acquis.  
1 meas. lasts 6 min

# Retrieval of the atmospheric state



## Different approaches have been used

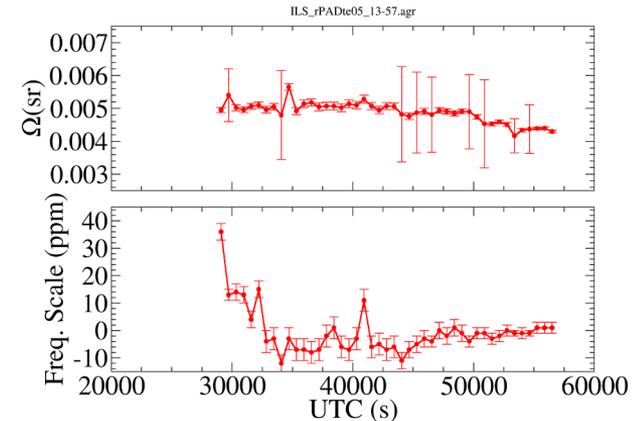
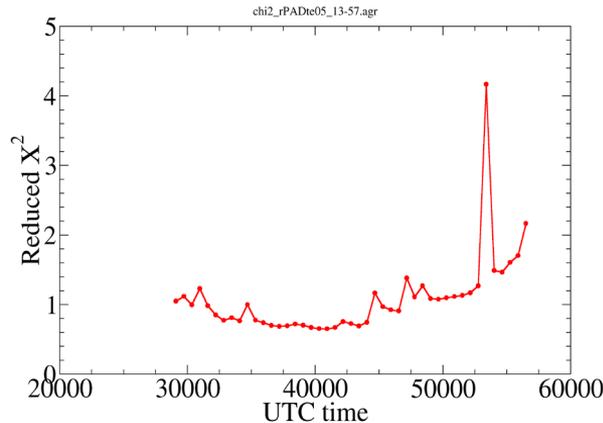
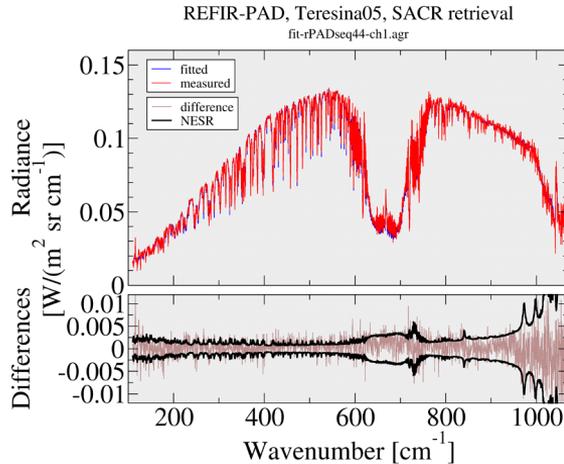
- Best fit with  $\chi^2$  minimization based on LBLRTM FM <https://doi.org/10.1029/2010JD014530>
- Optimal estimation approach based on SACR FM (LBLRTM) <https://doi.org/10.1016/j.jqsrt.2020.106927>
- Optimal estimation approach <https://doi.org/10.4401/ag-6331> based on KLIMA FM (HITRAN / AER)

## Instrument lineshape fitting

$$ILS(\sigma) = \alpha(\sigma) \cdot \text{sinc}\left(\frac{\sigma}{\Delta\sigma}\right) + (1 - \alpha(\sigma)) \cdot \text{sinc}^2\left(\frac{\sigma}{2\Delta\sigma}\right)$$

$$\alpha(\sigma) = \text{sinc}\left(\frac{\sigma\Omega}{4\Delta\sigma}\right)$$

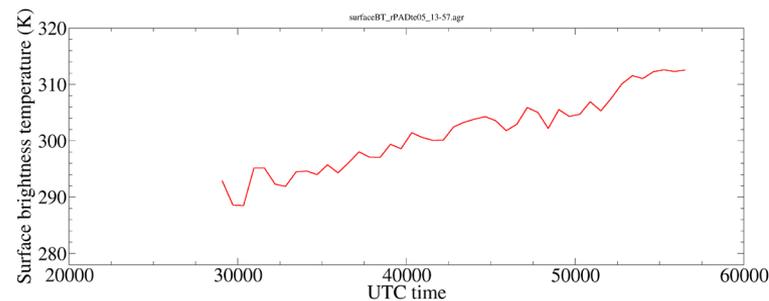
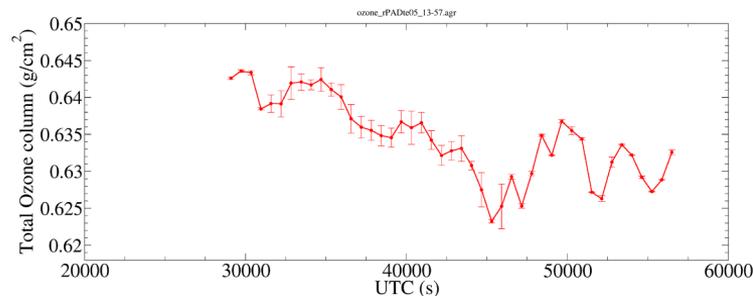
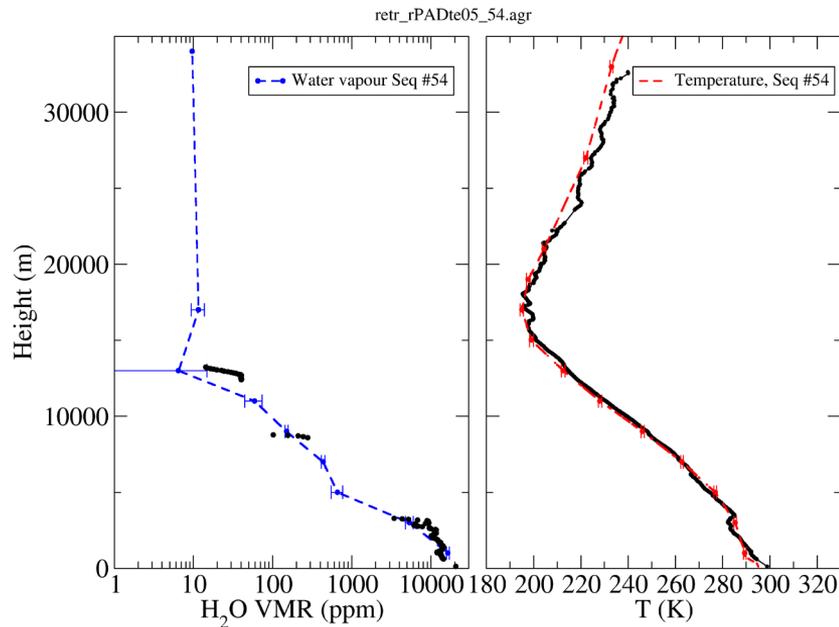
$$\sigma = (1+FS) \sigma_{meas}$$



# Retrieval water vapour / temperature prof. and Tsurf



## SACR retrievals



# Spectral flux calculation



From the retrieved atmospheric state using FMs, the flux can be calculate by solving the integral

- Numerical integration for many zenith angles
- Gaussian quadrature with  $x=\cos(\theta)$

$$F_v = 2\pi \int_0^1 I_v(x) dx = 2\pi \sum_{k=1}^3 w_k I_v(x_k)$$

$$\theta_1 = 77.740 \text{ deg}$$

$$\theta_2 = 53.803 \text{ deg}$$

$$\theta_3 = 24.299 \text{ deg}$$

Radiance  $I(\theta_1)$  calculated with FMs: LBLRTM, SACR, KLIMA

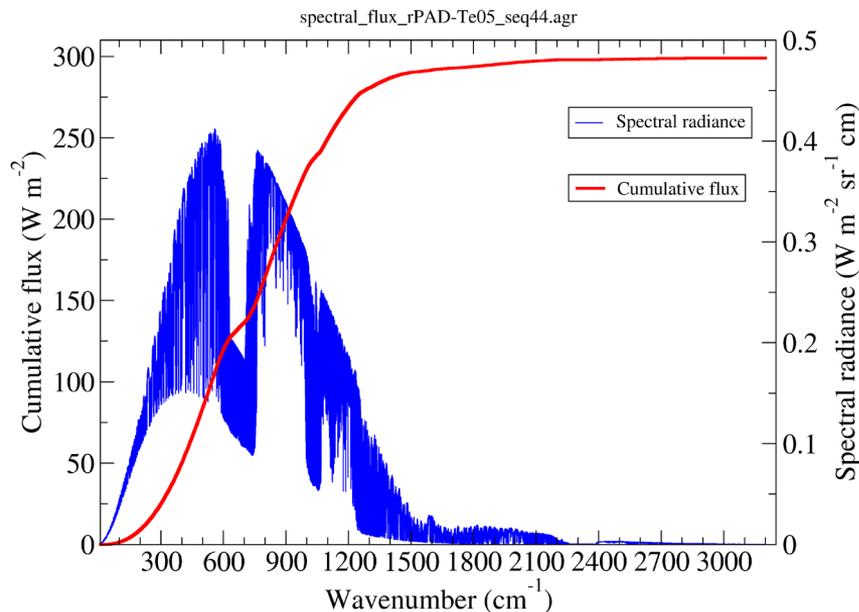
LBLRTM and SACR use plane-parallel atmosphere

KLIMA uses spherical geometry => for the comparison flux

must be scaled to ground according to

$$F_{ground} = F_h \left( \frac{r_e + h}{r_e} \right)^2$$

# Spectral integration



$$F = \int_0^{\infty} F_{\nu} d\nu$$

$$F_{\text{tot}10-3250\text{cm}^{-1}} = 299.03 \text{ W m}^{-2}$$

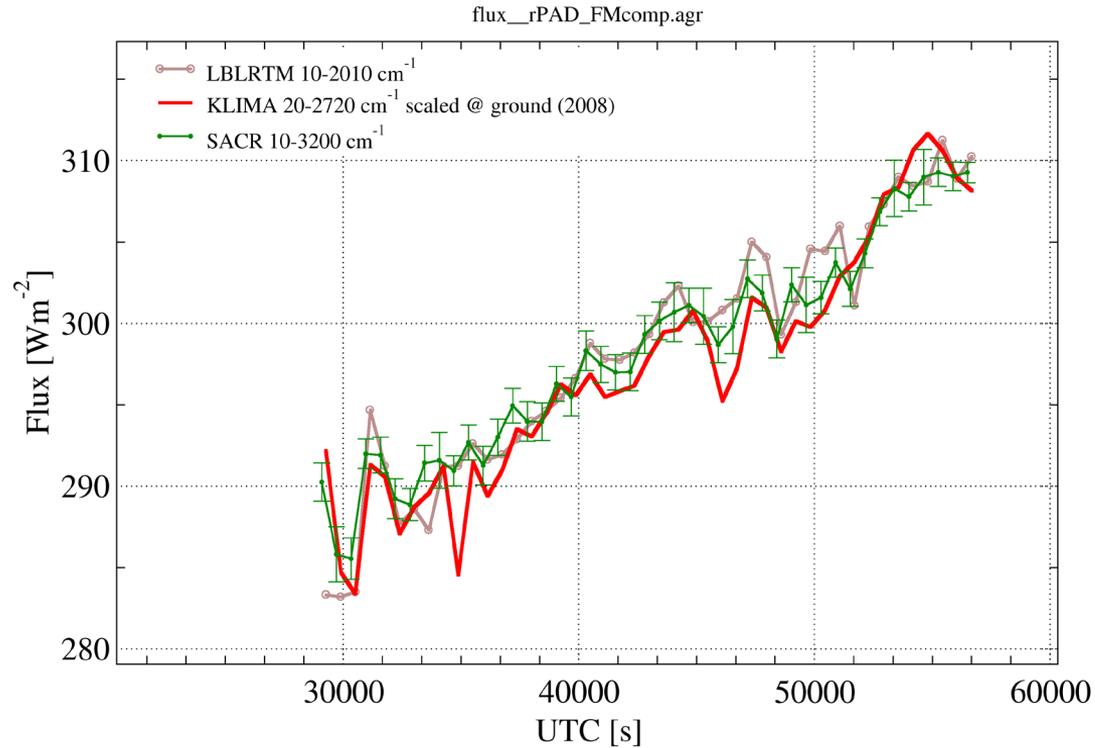
$$F_{10-3200\text{cm}^{-1}} = F_{\text{tot}} - 7.10^{-6} \text{ W m}^{-2}$$

$$F_{10-2750\text{cm}^{-1}} = F_{\text{tot}} - 0.21 \text{ W m}^{-2}$$

$$F_{20-2720\text{cm}^{-1}} = F_{\text{tot}} - 0.26 \text{ W m}^{-2}$$

$$F_{10-2010\text{cm}^{-1}} = F_{\text{tot}} - 2.6 \text{ W m}^{-2}$$

# Flux comparison



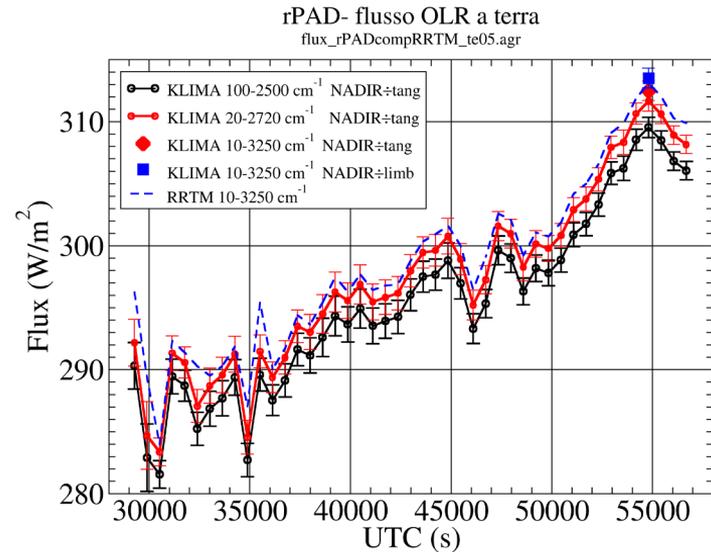
# Flux calculation – fast model: AER – RRTM



RRTM is a rapid radiative transfer model which utilizes the correlated-k approach to calculate fluxes and heating rates

[http://rtweb.aer.com/rrtm\\_frame.html](http://rtweb.aer.com/rrtm_frame.html)

- 16 bands in 10-3250  $\text{cm}^{-1}$
- flux differences with LBLRTM are within 1.5  $\text{W/m}^2$  at all levels



# Flux calculation – fast model: $\sigma$ -FORUM



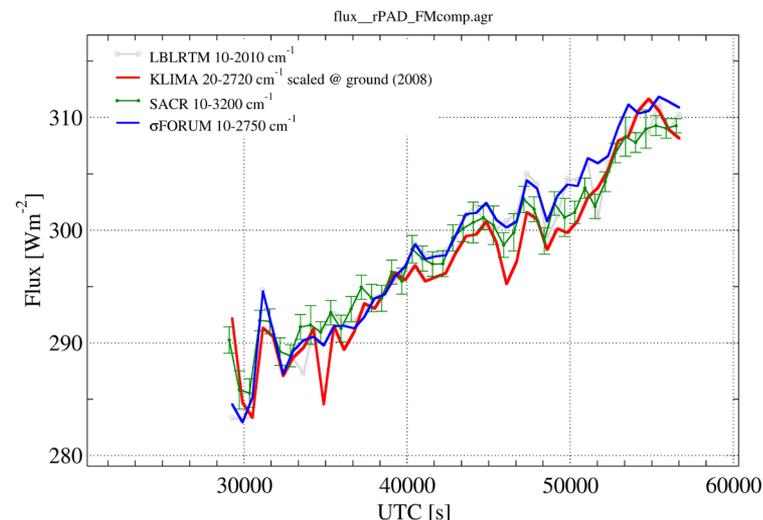
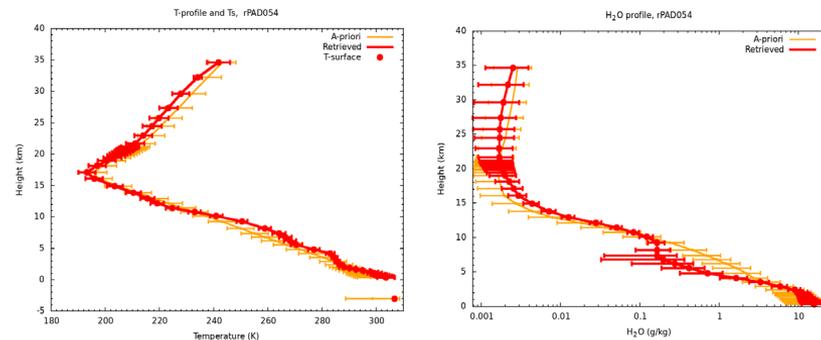
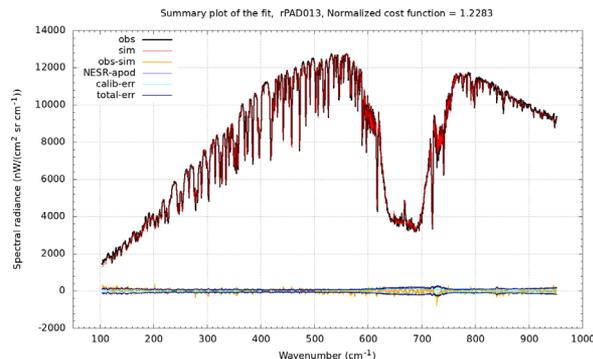
$\sigma$ -FORUM is a monochromatic fast radiative transfer model based on an extension of  $\sigma$ -IASI developed at Univ. of Basilicata

[https://doi.org/10.1016/S1364-8152\(02\)00027-0](https://doi.org/10.1016/S1364-8152(02)00027-0)

- Spectral range: 5-3000  $\text{cm}^{-1}$
- High res. = 0.01  $\text{cm}^{-1}$
- LBLRTM12.2 / KLIMA
- Geophysical par.: Atm. profiles (T,q); cloud/aerosols profiles; Surface par.

Retrieval with Opt. Estimation in 100-950  $\text{cm}^{-1}$

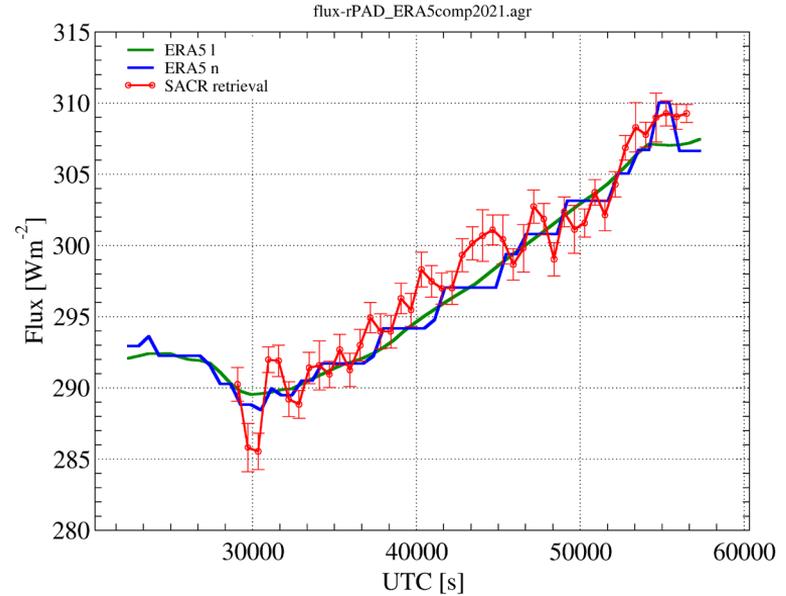
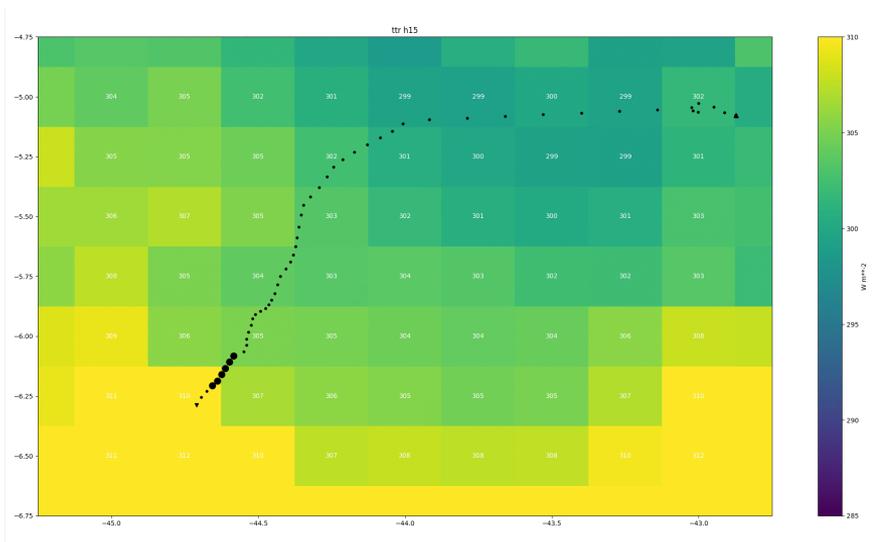
Flux calculation in 10-2750  $\text{cm}^{-1}$



# Comparison with ERA-5 and SACR fluxes



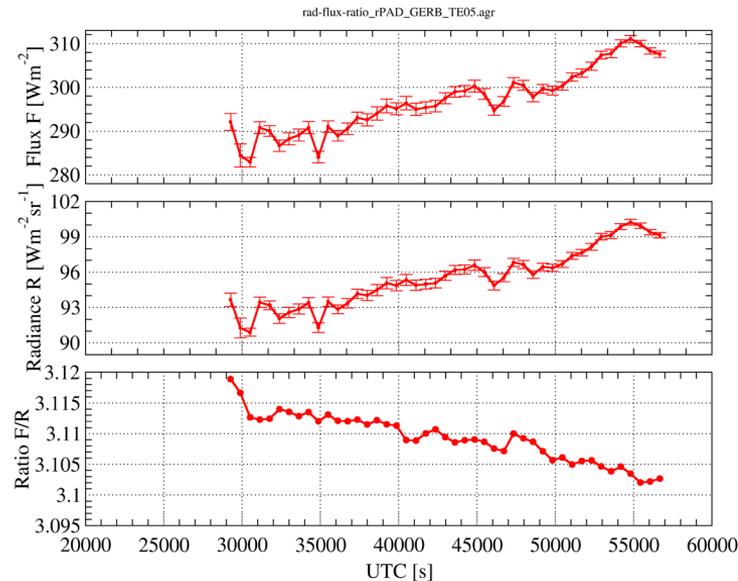
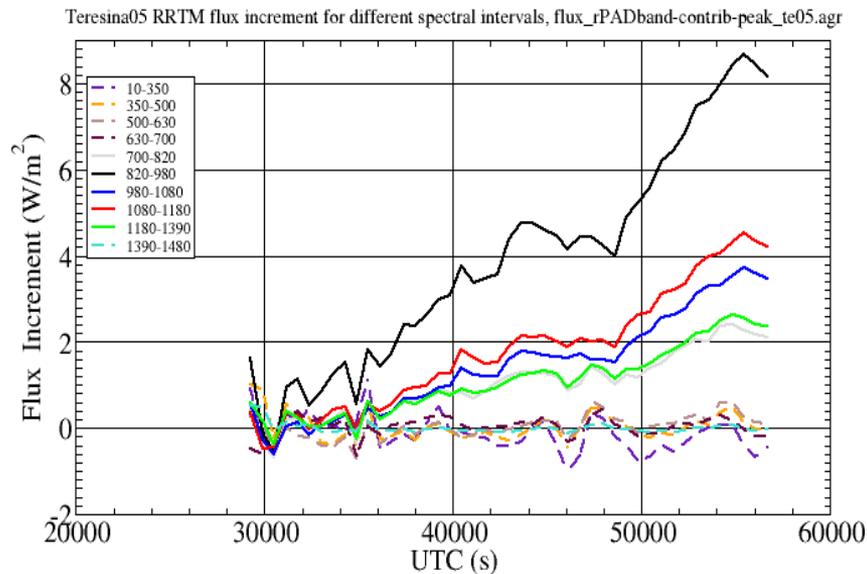
ERA5 hourly data on single levels  
Mean top net long-wave radiation flux



# Disadvantages/Advantages of spectral observations



Disadvantages: single direction observation; more data => more time for data analysis  
Advantages: attribution of changes; better accuracy in the rad. to flux conversion



# Thank you for your attention



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Earth Explorer 9 User Consultation Meeting - Videos

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